Updated 14 June 2016

The SA8000:2014 Social Fingerprint tools, including the Self-Assessment, are available from SAI through our SAI Training Center.

These instructions are for clients interested in completing the SA8000 Social Fingerprint Self-Assessment.

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1. Creating an account in the SAI Training Center:

Please only create 1 account for your organisation. You must use the same SAI Training Center account for all future self-assessments in the SA8000 Certification cycles.

- Please make sure you have popups enabled on your browser and click on the link below, or copy and paste the link directly into your browser: <u>https://socialfingerprint.absorbtraining.com/#/signup</u>
- 2. You will see the following screen:

SAI social
Sign Up Please enter the enrollment key name, then click 'Sign Up'. Key Name Sign Up Cancel

Enter the **Key Name** provided on the SAI website or use the unique **Key Name** your Certification Body provided you, and then click on the green Sign Up button.

Please note: Enrollment Keys, Usernames and Passwords are case sensitive

3. Fill out th	3. Fill out the online form to create your SAI Training Center account with your unique information:		
This will show the unique used to sign up for an acc	key ount.	social of fingerprint	
	To use the ke <mark>r sa8000sf,</mark> please sign u	ip for a new account or login to an existing one.	
	Sign Up	Login	
	* Required First Name *	If you already have a username and password, you can log in here to apply this enrollment key to your existing account.	
4	Last Name *	Username	
	Email *	Control Contro	
	Password *	Login	
	Re-enter Password *		
	Address *		
	Address 2 - Select a Country -		
	- Select a State/Province -		
	City * Postal/Zip Code	Please refer to SAI's industry sector list from our website here. It is based on	
	Job Title *	the ISIC and NACE industry sector codes.	
	- Select a Industry Sector -		
You can change the language of the software here.	Sign Up Cancel		
👌 Language: E	Inglish		

4. Once you have filled out your information, click Sign Up and you will be prompted to verify your email address before accessing your account. Sign into your email address and click on the verification link in the email from SAI Training Center.

2. Purchasing the SA8000 Social Fingerprint Self-Assessment

- Log into your SAI Training Center account by clicking the link below or copy and paste it into your internet browser: https://socialfingerprint.absorbtraining.com/#/login
- 2. Click on **Catalog**:



SAI Training	Center	You are logged in as: Sample SA8000 Clien	t
Catalog	Catalog Search 'Catalog'	Show Enrolled ON	
	2016 Auditor Courses	11 늘	
	Auditor Training Online Courses	• 🔁	
	Complimentary Courses	•2 늘	
	SA8000:2014 and Social Fingerprint (Certification	Applicants) 💿 늘	
	SA8000:2014 Online Revision Courses	3 늘	
	SAI Professional Development Series	2 늘	
୍	Social Fingerprint® Online Courses	• 🔁	
social	UN Guiding Principles - Handbook & Training Cour	se 🔹 늘	

3. Click on SA8000:2014 and Social Fingerprint (Certification Applicants)

4. <u>Select Getting Started with SA8000:2014 and follow the directions to check out</u>

SAI Training) Center	You are logged in as: Tracie Test
Catalog 1	Catalog Search 'Catalog' Q	Show Enrolled ON
SA8000:2014 Social Fin (1	Getting Started with SA8000:2014 Curriculum	¥. \$300.∞

SA8000:2014 Social Fingerprint Client Instructions

SAI Training	J Center	You are logged in as: Tracle Test ① Cart 🛒 📑
Catalog 1	Catalog © Search 'Catalog' Q	Show Enrolled ON
> SA8000:2014 Social Fin 1	Getting Started with SA8000:2014 Curriculum	Added to Cart
 1 Item(s) in your Cart Sub Total: \$300.00 Proceed to your Cart ►	1 Item(s) in your Cart 🕃 Sub Total: \$300.00	Proceed to your Cart >

SAI Training	g Center	You are logged in as: Tracie Test
	Your Shopping Cart Review Cart Information	Checkout (3) Review (4)
Purchase Summary	Course Details Getting Started with SA8000:2014 Curriculum	Price (per seat) Remove
1 Item(s) in your Cart Sub Total: \$300.00 Total Discount: -\$0.00 Purchase Total: \$300.00 Proceed to Checkout → < <u>Continue Shopping</u>		 § Sub Total: \$300.00 ✓ Total Discount: -\$0.00 ● Purchase Total: \$300.00 Proceed to Checkout → < Continue Shopping

SAI Training	g Center	You are logged in as: Tracie Test ① Cart 🛒 📑
Superiod Purchase Summary 1 Item(s) in your Cart Sub Total: \$300.00 Total Discount: \$50.00 Total Discount: \$300.00 Continue Shopping	Information Review Cart Information Confirm your information You are logged in as: Tracie Test. Not you? My shipping address is the same as my billing address	Checkout ③ Review ④

SA8000:2014 Social Fingerprint Client Instructions

SAI Training	Center	You are logged in as: Tracle Test ① Cart 🛒 📑
吊	Checkout Review Cart 🕑 Information 🥥	Checkout 3 Review 4
Purchase Summary	Checkout Payment Method © Credit Card	
Getting Started with SA8000:2014 Curriculum 3300 / Seat Seats: 1 (Sub Total: \$300.00	You can review this purchase before it's final.	Proceed >



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SA8000:2014 Social Fingerprint Client Instructions



- 3. To complete the SA8000 Social Fingerprint Self-Assessment
 - 1. On the home page of your SAI Training Center account, click on **My Courses**



2. Click on SA8000:2014 and Social Fingerprint (Certification Applicants):



3. Click Launch to open "Getting Started with SA8000:2014"



4. You must complete Part 1: "Introduction to the SA8000:2014 Social Fingerprint Self-Assessment" first

SAI Training	g Center	You are logged in as: Tracie Test
	Getting Started with SA8000:2014 consists of two courses Part 1 You must complete 1 of the following courses You must complete 1 of the following courses Introduction to the SA8000:20 Assessment Online Course	SA8000:2014 s: Introduction to the SA8000:2014 Social Fingerpr More COURSE PROGRESS 0/1 (s). 2014 Social Fingerprint Self- Launch
STATUS: NOT STARTED	Part 2	COURSE PROGRESS 0/1
	SA8000:2014 Social Fingerp	rint Self-Assessment



5. When you are finished with Part 1, your screen will look like this:

SAI Training	g Center You are logged in as: Tracie Test
/	Introduction to the SA8000:2014 Social Fingerprint Self-Assessment
	Welcome to the Introduction to the SA8000:2014 Social Finger provides some background information about Social Accountability Click this to go back and begin Part 2. Fingerprint. This course will prepare you to take the SA8000:2014 course in this series).
LESSON PROGRESS 1/1	This course is part of the curriculum: Getting Started with SA8000:2014
STATUS: COMPLETED 🗸	Introduction
Resources 🔥	Introduction to SA8000:2014 and Social Fingerprint Launch

6. Now you can complete the SA8000:2014 Self-Assessment

SAI Training	g Center	You are logged in as: Tracie Test
	Getting Started with Getting Started with SA8000:2014 consists of two of Part 1 You have completed the requirements for	h SA8000:2014 courses: Introduction to the SA8000:2014 Social Fingerpr More ~ COURSE PROGRESS 1/1 br this group.
CURRICULUM PROGRESS 1/2	Introduction to the SA8 Assessment Online Course	000:2014 Social Fingerprint Self- Launch
STATUS: IN PROGRESS	Part 2	COURSE PROGRESS 0/1
	() You must complete 1 of the following co	purse(s).
	SA8000:2014 Social Fin Online Course	gerprint Self-Assessment

SAI Training	g Center You are logged in as: Tracie Test
	SA8000:2014 Social Fingerprint Self- Assessment
	This course is part of the curriculum: Getting Started with SA8000:2014
	Profile Questions
STATUS: NOT STARTED	Profile Questions
	Introduction
Resources 🍄	How to Take the Social Fingerprint Self-Assessment
	Category 1
	Policies, Procedures & Records

7. The **Profile Questions** section will ask you for additional details about your facility.

For each question, you must click the blue **Submit Response** button as shown:

Question 1	Written response
Secondary Contact Name	
Bob Smith	
	Submit Response 🗸

When clicked, the question will turn gray:

Question 1		Written response
Secondary Contact Name		
Bob Smith		<i>i</i> i
	Clicking this button will clear the field. Only click this if you need to correct the information written.	Reset O

Onces	you answer	all of the	profile o	nuestions	click Submit Survey	,
Unice y	you answer	an or the	prome c	Juestions	, CHER JUDINE JUIVES	1

Profile Questions		×
0 of 8 Questions Remaining		Submit Survey 🛓
		<u>^</u>
	Question 1 Written response	
	Secondary Contact Name	
	Bob Smith	
	h	
	Reset O	

8. Go through the rest of the Self-Assessment, answering all questions in full. Once you are complete, you will see checkmarks on all of the sections. You must go through all of the modules in order to complete it. Please make sure all modules have a checkmark and the "CURRICULUM PROGRESS" bar shows STATUS: COMPLETED.

	Profile Questions	
STATUS: IN PROGRESS 13/14	Profile Questions	
Resources	Introduction	
	+ V How to Take the Social Fingerprint Self-Assessment	
	Category 1	
	Policies, Procedures & Records	
	Category 2	
	Social Performance Team	
	Category 3	
	✓ ✓ Identification & Assessment of Risk	
	Category 4	
	✓ ✓ Monitoring	
	Category 5	
	Internal Involvement & Communication	
	Category 6	
	Complaint Management & Resolution	
	Category 7	
	External Verification & Stakeholder Engagement	
	Category 8	
	Corrective & Preventative Actions	
	Category 9	
	Training & Capacity Building	
	Category 10	
	Management of Suppliers & Contractors	

9. Upon fully completing the Self-Assessment, you will receive an instant SA8000:2014 Social Fingerprint Self-Assessment Scorecard that gives you a score for each of the 10 categories on a scale of 1-5, as well as an overall score. You can print this for your records.

SAI social
SA8000:2014 Social Fingerprint Self-Assessment
Category
Points
Policies, Procedures & Records
3.3 Social Performance Team
4.0 Identification & Assessment of Risk
4.4 Monitoring
3.8 Internal Involvement & Communication
3.8 Complaint Management & Resolution
3.7
External Verification & Stakeholder Engagement
3.9
Corrective & Preventative Actions
4.2
Training & Capacity Building
3.5
management or Suppliers & Contractors
6.c
Average Overan Score 3.8
Print

Download the **SA8000 Social Fingerprint Rating Chart** located in **Resources** to see where your score lands on the rating chart.

SAI Training	g Center You are logged in as: Tracie Test
	SA8000:2014 Social Fingerprint Self- Assessment
	This course is part of the curriculum: Getting Started with SA8000:2014
	Profile Questions
LESSON PROGRESS 14/14	✓ Profile Questions
Resources	Introduction Image: Self-Assessment Launch

SA8000:2014 Social Fingerprint Client Instructions

	SA8000: 2014 Social Fingerprint Rating Chart									
Ratin	Policies, Procedures & Records	Social Performance Team (SPT)	Identification & Assessment of Risks	Monitoring	Internal Involvement & Communication	Complaint Management & Resolution	t External Verification & Stakeholder Engagement	Corrective & Preventative Actions	Training & Capacity Building	Management of Suppliers & Contractors
5	All components of Level 4 AND: SA8000 policies and procedures regularly reviewed and updated. SA8000 implementation tied to business strategy and planning. Demonstrated continual improvement and process review.	All components of Level 4 AND: Senior management regularly reviews the SPT's effectiveness. Team members' involvement in SPT is part of their performance review.	All components of Level 4 AND: Risk assessment process regularly reviewed and updated for continual improvement, with emphasis on transparency. Risk assessment results used in business strategy and planning.	All components of Level 4 AND: Monitoring process regularly reviewed and updated for continual improvement. Monitoring results used to review performance to meet objectives set as part of overall business strategy and planning.	All components of Level 4 AND: Communication procedures regularly reviewed and updated, based on evaluations of workers' understanding of SA8000. Worker input incorporated into annual improvement plans in order to improve SA8000 implementation.	All components of Level 4 AND: Complaint management system regularly reviewed and updated to ensure that it is trusted and widely accessible. Complaints routinely reviewed to identify root causes and areas for continual improvement.	All components of Level 4 AND: Regular, proactive engagement and communication with interested parties for continual improvement of SA8000 implementation.	All components of Level 4 AND: Corrective and preventive action process regularly reviewed and updated fo continual improvement. Risk assessment used to predict potential issues and pre-empt them.	All components of Level 4 AND: Training plan regularly reviewed and updated for confilma improvement and to ensure that it is building the necessary capacity amongst personnel. Capacity building facilitated for business partners to prevent issues from occurring.	All components of Level 4 AND: Business Ipartners' SA8000 implementation connected to sourcing decisions, with incentives for high performance. Local groupe engaged to improve supply chain transparency.
4	Routine implementation of SA8000 policies and procedures, as evidenced by records. Policies and procedures communicated internally and externally. Management review conducted.	Peer-selected Social Performance Team with balanced representation of managers and workers responsible for SA8000 implementation.	SPT responsible for risk assessment of internal processes and significant business partners. Risk assessment includes root cause analysis and consultation with interested parties. SPT recommends actions to senior management to address risks and root cases.	SPT responsible for routine monitoring of SA8000 implementation, including facilitating formal internal audits.	Regular communication about 5A8000 between managers and all workers: Evaluation of workers' understanding of SA8000.	Formal complaint management system includes multiple ways to lodge a complaint and is confidential, non- retailatory and available to interested parties. Complaint resolutions are reviewed by senior management and results are available upon request to interested parties.	Full cooperation with external auditors. Stateholder identification and mapping conducted to proactively engage with interested parties for SA8000 implementation.	SPT facilitates corrective actions and preventive actions, monitoring timelines and allocation of resources. Root cause analyses identify necessary preventive actions to avoid recurrence.	On-going training for all personnel on SA8000 implementation and specialized training for SPT. Training records maintained and effectiveness of training measured. Capacity building facilitated for business partners to fix problems.	Communication with business partners about SA8000 and expectations for performance. Supply chain mapping and risk assessment conducted to prioritize certain business partners for further engagement. Itabour risks considered in selection of new business partners.
3	Written policies and procedures on labour issues developed and communicated internally. Records maintained.	A team of several managers and at least one worker representative responsible for labour practices.	One or more designated managers responsible for risk identification, assessment and prioritization related to internal processes.	Procedures in place to monitor labour practices in the workplace. Monitoring conducted irregularly.	Regular, formal communication about labour standards between management and directly employed workers.	Written complaint management procedures in place to receive and respond to complaints from internal and externa sources. Procedures identify various channels personnel can use to lodge a complaint.	Procedures in place to cooperate with external lauditors, as well as to identify and respond to interested parties.	Procedures in place for corrective actions. Corrective action process primarily the responsibility of HR and OHS departments. Records of actions maintained.	Training plan in place for all personnel to receive some training on labour issues, with specialized training for those managing labour practices. Training conducted irregularly.	Business partners informed of labour standard requirements and must convey acceptance. Supply chain mapped to identify high risk areas and monitor the most significant business partners' activities.
2	Some separate policies and procedures related to specific labour issues. Limited record-keeping.	Individuals in HR or OHS department primarily responsible for labour practices, with focus on legal or customer code compliance.	Risk assessments conducted for specific areas, such as OHS, as required by law or customer codes.	Monitoring conducted for specific areas, such as OHS, in response to regulatory body or customer requests.	Informal communication, mostly verbal, about labour standards between management and some workers.	All complaints addressed on a case-by-case basis, usually by direct supervisor.	External auditors provided with access to workplace as required. Approach to interested parties is primarily reactive.	Progress on corrective actions driven by customers or regulatory bodies.	Some mention of labour policies, such as OHS, during new employee orientation.	Business partners informed of labour standards requirements, but engagement is primarily reactive or driven by customers.
1	No policies, procedures or records related to labour standards.	No formally assigned responsibility for labour practices.	No formal identification and assessment of risks.	No formal monitoring of labour practices.	No communication channels related to labour standards.	No formal complaint management system.	Little or no engagement with external auditors or interested parties.	Little or no plan to improve labour practices.	No mention of labour practices or standards in training. Workers and managers receive job- related training.	Little or no consideration of labour risks in the supply chain.

10. If you completed the SA8000:2014 Social Fingerprint Self-Assessment using a unique Key Name from a SAAS-Accredited Certification Body, they will contact you regarding next steps in the certification process.

If you created an account using the Key Name from SAI's website, and would now like to pursue SA8000 certification, or if you have any questions about the SA8000 certification process, please contact <u>sa8000@sa-intl.org</u>.

To see a list of SAAS-Accredited Certification Bodies, please see this link: <u>http://www.saasaccreditation.org/accredcertbodies</u>.